

# Lobbying Firm Registration Statement

(Government Code Section 86104)

<b>Legislative Session</b>	<b>CALIFORNIA FORM 601</b>
2009 2010 (Insert Years)	FAIR POLITICAL PRACTICES COMM. For Official Use Only AMENDMENT 004
1/4	
NAME OF LOBBYING FIRM: DIAZ AND ASSOCIATES	If this is an initial registration, enter the DATE QUALIFIED as a Lobbying Firm: 12/23/2008
BUSINESS ADDRESS: (Number and Street) (City) (State) (Zip Code) SAN JOSE CA 95112	TELEPHONE NUMBER:
MAILING ADDRESS: (If different than above.)	FAX NUMBER: (Optional)
	E-MAIL: (Optional) mannyjdiaz@yahoo.com

Type or Print in ink

SEE INSTRUCTIONS ON REVERSE

## I Individual Lobbyists

- \* List the full name of each partner, owner, officer or employee of your lobbying firm who is a lobbyist. Attach a Form 604 for each lobbyist.
- \* Do not list any individual who is separately registered as a lobbying firm or who is employed by a lobbying firm with which you subcontract.
- \* If your firm does not have a partner, owner, officer, or employee who qualifies as a lobbyist, state "not applicable."

Please see attached pages

## II Lobbyist Employers

- \* Use Section A to report each client with whom your firm has a direct contract to provide lobbying services.
- \* Use Section B to report lobbying firms with which your firm subcontracts to provide lobbying services and the clients on whose behalf your firm will lobby.
- \* Attach a Form 602 for each person identified in Section A or B.

Please see attached pages

# Lobbying Firm Registration Statement

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CALIFORNIA  
FORM

601

FAIR POLITICAL PRACTICES COMM.

NAME OF LOBBYING FIRM:  
DIAZ AND ASSOCIATES

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## III Statement of Responsible Officer

I am a partner, owner, or officer of the lobbying firm of Diaz and Associates.

I am the person responsible for filing statements and reports and keeping records required by Chapter 6 of the Political Reform Act (Government Code Sections 81000-91015). I have read and understand the prohibitions contained in Sections 86203 and 86205.

**86203.** It shall be unlawful for a lobbyist or a lobbying firm to make gifts to one person aggregating more than ten dollars (\$10) in a calendar month, or to act as an agent or intermediary in the making of any gift, or to arrange for the making of any gift by any other person.

"Gift" as used in Section 86203 means a gift made directly or indirectly to any state candidate, elected state officer, or legislative official, or to an agency official of any agency required to be listed on the Registration Statement of the lobbying firm or the lobbyist employer of the lobbyist.

**86205.** No lobbyist or lobbying firm shall:

(a) Do anything with the purpose of placing any elected state officer, legislative official, agency official, or state candidate under personal obligation to the lobbyist, the lobbying firm, or the lobbyist's or the firm's employer.

(b) Deceive or attempt to deceive any elected state officer, legislative official, agency official, or state candidate with regard to any material fact pertinent to any pending or proposed legislative or administrative action.

(c) Cause or influence the introduction of any bill or amendment thereto for the purpose of thereafter being employed to secure its passage or defeat.

(d) Attempt to create a fictitious appearance of public favor or disfavor of any proposed legislative or administrative action or to cause any communication to be sent to any elected state officer, legislative official, agency official, or state candidate in the name of any fictitious person or in the name of any real person, except with the consent of such real person.

(e) Represent falsely, either directly or indirectly, that the lobbyist or the lobbying firm can control the official action of any elected state officer, legislative official, or agency official.

(f) Accept or agree to accept any payment in any way contingent upon the defeat, enactment, or outcome of any proposed legislative or administrative action.

## VERIFICATION

I have used all reasonable diligence in preparing this Statement. I have reviewed this Statement and to the best of my knowledge the information contained herein is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed On 09/10/2009  
DATE

By Manny Diaz  
SIGNATURE OF RESPONSIBLE OFFICER

Name of Responsible Officer Manny Diaz  
TYPE OR PRINT

Title President

Lobbying Firm  
Registration Statement  
(Government Code Section 86104)

Type or Print in ink

SEE INSTRUCTIONS ON REVERSE 3/4

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Manny Diaz

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CALIFORNIA  
FORM **601**  
FAIR POLITICAL PRACTICES COMM.

NAME OF LOBBYING FIRM:

DIAZ AND ASSOCIATES

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## II Lobbyist Employers

### SECTION A -- (Continued)

Employer's Name, Address and Telephone Number The BNSF Railway Company  Sacramento CA 95814	Effective Date  01/01/2009	Period of Contract 01/01/2009-12/31/2009
Agencies to be Lobbied California State Legislature, Governor Administration and State Agencies	Description of Employer's Lobbying Interests State legislation on goods movement, transportation, infrastructure funds	
Employer's Name, Address and Telephone Number American Chemistry Council  Arlington VA 22209	Effective Date  05/05/2009	Period of Contract 04/20/2009-07/20/2009
Agencies to be Lobbied California State Legislature, Governor's Administration, State Agencies	Description of Employer's Lobbying Interests plastic bag legislation fees and regulation	
Employer's Name, Address and Telephone Number RMP Strategies Inc.  Sacramento CA 95814	Effective Date  09/09/2009	Period of Contract 09/09/2009-10/09/2010
Agencies to be Lobbied California State Legislature, Governor's office and administration	Description of Employer's Lobbying Interests Advocate for Pinoleville Pomo Nation Compact interest and legislation	